

<b>Interview Summary</b>	Application No. 09/875,245	Applicant(s) SANGHVI ET AL.	
	Examiner Haresh Patel	Art Unit 2154	

All participants (applicant, applicant's representative, PTO personnel):

(1) Haresh Patel. (3)\_\_\_\_\_

(2) Mr. Paul Mitchell. (4)\_\_\_\_\_

Date of Interview: 15 September 2006.

Type: a) ☒ Telephonic b) ☐ Video Conference  
c) ☐ Personal [copy given to: 1) ☐ applicant 2) ☐ applicant's representative]

Exhibit shown or demonstration conducted: d) ☐ Yes e) ☒ No.  
If Yes, brief description: \_\_\_\_\_

Claim(s) discussed: 1-29.

Identification of prior art discussed: N/A.

Agreement with respect to the claims f) ☒ was reached. g) ☐ was not reached. h) ☐ N/A.

Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: The Examiner and the Applicant discussed an Examiner's amendment. The Applicant went over the above-mentioned claims with the Examiner. The applicant agreed to allow the examiner to do an examiner's amendment to the claims as attached.

(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)

THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER OF ONE MONTH OR THIRTY DAYS FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.

Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.

 9/15/06  
Examiner's signature, if required

## Summary of Record of Interview Requirements

### Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

### Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

#### Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

#### 37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,  
(The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

### Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

1. (Currently amended) A method comprising:

receiving a first event at a first event filter, the first event filter having an associated filter criteria;

applying the filter criteria associated with the first event filter to the first event;

when if the first event satisfies the filter criteria associated with the first event filter, then:

transforming the first event into a second event; and

communicating the second event to a plurality of second event filters each of the plurality of event filters having an associated filter criteria and each of the plurality of event filters being associated with one of a plurality of event consumers, wherein at least one individual event consumers of the plurality of event consumers generates an email message when the second event satisfies the filter criteria associated with the corresponding event filter~~having an associated filter criteria, the second event filter being associated with an event consumer, wherein the event consumer performs an action if the second event satisfies the filter criteria associated with the second event filter.~~

2. (Previously presented) The method as recited in claim 1 wherein the second event includes a header having a plurality of parameters, wherein the event header has a standard data format regardless of event source.

3. (Previously presented) The method as recited in claim 1 wherein the second event includes a payload including a plurality of payload objects.

4. (Previously presented) The method as recited in claim 1 wherein the second event filter has no knowledge of the first event.

5. (Cancelled).

6. (Cancelled).

7. (Currently amended) The method as recited in claim 1 wherein at least one different individual event consumer of the plurality of event consumers logs the second event to a storage device ~~the action performed by the event consumer if~~ when the second event satisfies the filter criteria associated with the second event filter ~~is logging the second event to a storage device.~~

8. (Currently amended) The method as recited in claim 1 wherein at least one different individual event consumer of the plurality of event consumers forwards the second event to a destination ~~the action performed by the event consumer if~~ when the second event satisfies the filter criteria associated with the second event filter ~~is forwarding the second event to a destination.~~

9. (Cancelled).

10. (Original) One or more computer-readable memories containing a computer program that is executable by a processor to perform the method recited in claim 1.

11. (Currently amended) A method comprising:

- receiving a first event having a first format;
- transforming the first event into a second event having a second format, wherein transforming the first event into a second event comprises:
  - generating an event header having a plurality of parameters, wherein the plurality of parameters are arranged in a standard data format; ~~and~~
  - generating an event payload having a plurality of payload objects, wherein the plurality of payload objects identify at least one action to perform in response to the event;
  - applying the second event to an event filter having an associated filter criteria;
  - communicating the second event to an event consumer when the second event satisfies the filter criteria associated with the event filter, and,
  - generating an email message at the event consumer.

12. (Cancelled).

13. (Previously presented) The method as recited in claim 11 wherein the plurality of parameters are arranged in a standard data format regardless of the first event source.

14. (Cancelled).

15. (Original) One or more computer-readable memories containing a computer program that is executable by a processor to perform the method recited in claim 11.

16. (Currently amended) An apparatus comprising:

an event transformer to receive a first event and transform the first event into a second event, the second event having a standard data format regardless of the first event data format;

a plurality of event filters coupled to the event transformer, the event filters to apply filter criteria to the second event; and

a plurality of event consumers coupled to the plurality of event filters, the event consumers to perform an action when if the second event satisfies the filter criteria applied by the event filters, wherein the second event includes an event header having a plurality of parameters arranged in a standard data format, and wherein the plurality of parameters in the event header are applied to the event filters to determine whether the associated event satisfies the filter criteria, wherein the action performed by at least one of the plurality of event consumers when the second event satisfies the filter criteria associated with the second event filter is generating an email message.

17. (Previously presented) The apparatus as recited in claim 16 wherein the event transformer operates independently of the event filters and independently of the event consumers.

18. (Cancelled).

19. (Cancelled).

20. (Previously presented) The apparatus as recited in claim 16 wherein the second event includes an event payload having a plurality of payload objects.

21. (Previously presented) The apparatus as recited in claim 16 wherein the second event includes an event payload having a plurality of payload objects, and wherein the plurality of payload objects identify at least one action to perform in response to the event.

22. (Currently amended) One or more computer ~~storage-readable~~ media having stored thereon a computer program that, when executed by one or more processors, causes the one or more processors to:

receive a first event having a first data format;

filter the first event using a first filter criteria;

transform the first event into a second event having a second data format when if the first event satisfies the first filter criteria, wherein the second

data format includes an event header having a plurality of parameters and an event payload having a plurality of payload objects; and

communicate the second event to an event action handler when if the first event satisfies the first filter criteria wherein the plurality of payload objects in the event payload are used by an event consumer that receives the second event to identify an action to perform in response to the second event, and wherein the action is selected from a list of potential actions that includes generating an email message.

23. (Currently amended) The one or more computer storage-readable media as recited in claim 22 wherein the plurality of parameters in the event header are arranged in a standard format.

24. (Currently amended) The one or more computer storage-readable media as recited in claim 22 wherein the plurality of parameters in the event header are used to filter the second event.

25. (Cancelled).

26. (Cancelled).

27. (Currently amended) The one or more computer storage-readable media as recited in claim 22 wherein the event action handler performs at least one action in response to the second event.



28. (Previously presented) The method as recited in claim 1 wherein the filter criteria associated with the first event filter includes an event type.

29. (Previously presented) The method as recited in claim 1 wherein the filter criteria associated with the second event filter includes an event type.